

School of Business

BBA
ETE - Jun 2023

Time : 3 Hours

Marks : 100

Sem IV - D1UE401T - Legal Framework of Bfsi

Your answer should be specific to the question asked

Draw neat labeled diagrams wherever necessary

1. Interpret Corporate governance in banks with illustration. K2 CO2 (5)
2. Discuss the RBI Act's 1934 salient provisions. K2 CO1 (5)
3. Summarize the role of relationship between banker and customer for the growth of banking business. K2 CO5 (5)
4. Categorize the laws relating to bill finance. Explain bill finance in detail. K4 CO4 (10)

OR

- Contrast among mortgages, pledge and hypothecation with illustration. K4 CO4 (10)
5. What is branch authorization policy? Compare it with branch licensing. K2 CO1 (10)
6. Discover different types of cheques and their basic features. K4 CO3 (10)
7. Sketch the diagram of audit and inspection of a banking company. K3 CO2 (10)
8. Categorize types of KYC (know your customer) with example. K4 CO3 (15)
9. If a person hides his income from income tax department and later on found guilty of having assets more than his income. What actions can be taken against him by the income tax department? K5 CO5 (15)

OR

- Vijay purchased a taxi on the guarantee of Mohan. Later on Mohan denied paying the installment of that car and fleeing away from his address which was given in the documents. What action will be taken against Vijay and Mohan? K5 CO5 (15)
10. What is mortgage? Appraise the different types of mortgage and enforcement of mortgage. K5 CO4 (15)