

School of Law

Bachelor of Law
ETE - Jun 2023

Time : 3 Hours

Marks : 50

Sem VIII - BALB4028 / BBLB4028 Law on Corporate Finance

Your answer should be specific to the question asked

Draw neat labeled diagrams wherever necessary

1. Which sectors are prohibited for Foreign Direct Investment? K2 CO4 (2)
2. Explain various entry routes provided in FDI Policy. K1 CO2 (2)
3. Explain the functions of Debenture Trustees. K1 CO1 (2)
4. Who can be covered under Connected Person? K2 CO6 (2)
5. Write down the Qualifications for appointment as Presiding Officer of the Securities Appellate Tribunal. K2 CO3 (2)
6. How Intermediaries can readdress the Investor Grievances? Are there any SEBI guidelines on this issue. K3 CO2 (5)
7. Write a note on Depositories with special reference to SEBI guidelines. K4 CO6 (6)
8. Describe the role of Serious Fraud Investigation Office as provided under Sections 211 & 212 of Companies Act, 2013. K3 CO3 (5)
9. Describe debentures, its various types and the rights of debenture holders under Companies Act, 2013 K5 CO6 (8)
10. Write a note on various intermediaries of market. K4 CO3 (8)
11. Explain the Rights and Obligations of the followings K5 CO1 (8)
 - a. Depositories
 - b. Depositories Participants