

School of Law**Integrated Bachelor of Business Administration - Bachelor of Law
Semester End Examination - Nov 2023****Duration : 180 Minutes
Max Marks : 100****Sem V - J1UA509T - Financial Market Regulation**General Instructions*Answer to the specific question asked**Draw neat, labelled diagrams wherever necessary**Approved data hand books are allowed subject to verification by the Invigilator*

- 1) Write objectives of the collective investment schemes. K1 (2)
- 2) Write powers of Central Government under Securities Contract Regulation Act 1956. K2 (4)
- 3) Write shortcomings in operation of the mutual funds. K2 (6)
- 4) Write features of Security Contract Regulation Act. K3 (9)
- 5) Explain "foreign exchange" under FEMA. K3 (9)
- 6) Explain capital account transaction and current account transaction. K5 (10)
- 7) Briefly discuss Capital Market Investment Institutions . K4 (12)
- 8) Write Provisions of Companies Act, 2013 relating to issue of GDR. K5 (15)
- 9) Write Features & Regulatory Framework of Commodity Market. K5 (15)
- 10) Write powers ,functions and duties of SEBI. K6 (18)