

School of Law

**Master of Law
Semester End Examination - Jun 2024**

**Duration : 180 Minutes
Max Marks : 100**

Sem II - J1PE203T - Law Relating to SecuritiesGeneral Instructions

Answer to the specific question asked

Draw neat, labelled diagrams wherever necessary

Approved data hand books are allowed subject to verification by the Invigilator

- 1) What are the ongoing obligations of companies after listing their securities on stock exchanges, such as compliance with listing agreements, corporate governance norms, and periodic reporting requirements? K2(6)
 - 2) What steps are involved in the application for listing securities on stock exchanges, and what documents must companies submit to regulatory authorities? K2(6)
 - 3) Discuss the regulatory framework governing foreign portfolio investment in India. K3(6)
 - 4) Discuss the criteria used by stock exchanges and regulatory bodies to evaluate listing applications and grant approval? K3(6)
 - 5) What initiatives does the Depositories Act promote to enhance investor education and protection within the depository system? K5(10)
- OR**
- 6) How does the Depositories Act contribute to market surveillance efforts aimed at detecting and deterring fraudulent or manipulative activities? K5(10)
 - 6) Analyze the implications of the SEBI v. Ketan Parekh case on the enforcement of regulations against market manipulation and insider trading. K5(15)
 - 7) Explain the significance of Section 14 of the SEBI Act in regulating the securities market in India. Discuss the powers conferred upon SEBI under this section and how they contribute to investor protection and market integrity. Provide examples or case references to support your explanation. K5(15)
 - 8) What were the key issues at stake in the Hindustan Lever Limited K5(15)

vs. SEBI case regarding alleged insider trading?

- 9) Can you discuss the significance of the Reliance Industries Limited vs. SEBI case in shaping insider trading regulations in India? K6(18)
- 10) What are the different methods through which companies can offer securities to investors, such as public offerings, rights issues, private placements, and qualified institutional placements (QIPs)? Discuss the role of SEBI in regulating these methods. K6(18)
- 11) Critically analyse Rakesh agarwal case on insider trading. And also discuss the legal outcomes of this case in preventing and regulating insider trading in India. K6(18)