

Lecture Notes

on

Shell Sort



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(Be safe and stay at home)

Shell Sort

- Shell sort is named after Donald Shell.
- It is also called diminishing increment sort & is a variation of the basic insertion sort algorithm.
- It avoid to compare adjacent element until the last step of the algorithm achieved.
- The running time of shell sort is highly dependent on the selection of an increment sequence $h_i, h_{i-1}, \dots, 1$ that effectively partition the original list into a set of sub-lists.

Shell Sort

1 By Donald Shell:

$$h_t = n/2$$

increment series as: $n/2, n/4, n/8, \dots, 1$.

2 By Hibbard:

sequence of increment as $1, 3, 7, \dots, 2^i - 1$

3 By Knuth:

$$h_i = 1$$

$$h_i = h_i * 3 + 1$$

stop when $h_i \geq n$

Algorithm

```
shell-sort(a,n)
  h=1;
  while(h<=n)
    h=3*h+1;
  do
    h=(h-1)/3
    for(i=h+1 to n)
      v=a[i]
      j=i
      while a[j-h]>v
        a[j]=a[j-h]
        j=j-h;
      if j<=h then
        a[j]=v
    while h!=1
```

Running Example:

	1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
a[15]	A	S	O	R	T	I	N	G	E	A	X	M	P	L	E

Step 01:

n=15

while(h<=h)

h=3*1+1 =4

while(4<=15)

h=3*4+1 =13

while(13<=15)

h=3*13+1=40

Now increment is computed in reverse

$h=(h-1)/3 = (40-1)/3= 13$

$h=(13-1)/3=4$

$h=(4-1)/3=1$

therefore, increment values are 13,4,1.

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
A	S	O	R	T	I	N	G	E	A	X	M	P	L	E

Step 02:

for $i=13+1$ to 15

$v=a[14]$, $v=L$

$j=14$

while $a[j-h]>v$

i.e. $a[1]>L$

$A>L$ (false)

if $j<=h$ ($14<=13$)

$i=15$

$v=a[15]$, $v=E$

$j=15$

while $a[15-13]>v$

$a[2]>E$, $S>E$ (True)

$a[15]=a[2]$

$j=2$

if $j<=13$

$a[2]=E$

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
A	E	O	R	T	I	N	G	E	A	X	M	P	L	S

Compare A,T, E, P

E,I,X,L

O,N,A,S

R,G,M

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
A	E	A	G	E	I	N	M	P	L	O	R	T	X	S

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
A	E	A	G	E	I	N	M	P	L	O	R	T	X	S

now, $h=1$

compare the adjacent elements

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15
A	A	E	E	G	I	L	M	N	O	P	R	S	T	X

Q & A?

Queries are welcome on slack channel
for discussion